

UTILITY CONTINUATION-IN-PART PATENT APPLICATION TRANSMITTAL

for Continuation-in-Part applications under 37 CFR 1.53(b)

Attorney Docket No.: 4154-11-CIP

Inventors: Robert David Freeman of 4747 Anne Place, Erie, Colorado 80516
Thomas Edward Berg of 4801 Chippendale Drive, Fort Collins, Colorado 80526
David William Niss of 5466 La Plata Circle, Boulder, Colorado 80301
Peter Jeffrey Raymond of 7501 Weld County Road 7, Erie, Colorado 80516

Express Mail Label No.: EL617197691US

Title: "TILT FOCUS METHOD AND MECHANISM FOR AN OPTICAL DRIVE"

Assistant Commissioner for Patents

Box Patent Application

Washington, DC 20231

This is a Continuation-in-Part application of pending prior application No. 09/557,284 filed April 24, 2000. The entire disclosure of the prior application is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference.

Enclosed for filing with the above-identified utility patent application, please find the following:

1. ☐ Applicant claims small entity status. See 37 CFR 1.27.
2. ☒ Specification (Total Pages of Text, including Abstract and Claims: 46)
3. ☒ Drawing(s) (35 USC 113) (Total Sheets: 51) ☐ FORMAL ☒ INFORMAL
4. ☐ Oath or Declaration (Total Pages:) ☐ Signed ☐ Unsigned
5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Attorney for applicants hereby asserts pursuant to 37 CFR § 1.821(f) that the content of the paper and computer readable copies of SEQ ID No: 1 through SEQ ID No: submitted herewith are identical
7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
9. ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS/PTO-1449)
12. ☐ Copies of IDS Citations (Number of References:)
13. ☐ Preliminary Amendment
14. ☒ Return Postcard (MPEP 503) (should be specifically itemized)
15. ☐ Certified copy of Priority Document(s)

FEE CALCULATION:

| | (COL. 1) NO. FILED | | | | (COL. 2*) NO. EXTRA | | SMALL ENTITY | | | LARGE ENTITY | |
|--|-----------------------|---|----|--|------------------------|--|--------------|----------|----|--------------|----------|
| | | | | | | | RATE | FEE | | RATE | FEE |
| BASIC FEE: | | | | | | | | \$355.00 | OR | | \$710.00 |
| TOTAL CLAIMS: | 21 | - | 20 | | 1 | | X \$9 = | | OR | X \$18 = | |
| INDEP. CLAIMS: | 2 | - | 3 | | 0 | | X \$40 = | | OR | X \$80 = | |
| MULTIPLE DEPENDENT CLAIMS | | | | | | | + \$135 = | | OR | + \$270 = | |
| *IF THE DIFFERENCE IN COL. 2 IS LESS THAN ZERO, ENTER "O" IN COL. 2. | | | | | | | TOTAL: | | | | |

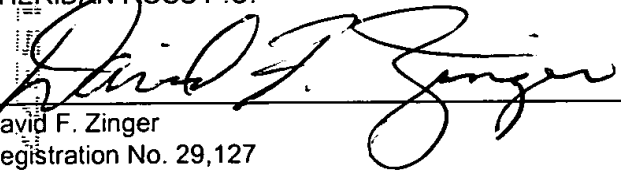
OTHER INFORMATION:

1. ☒ **NO FEE IS ENCLOSED AT THIS TIME**
2. ☒ The Commissioner is hereby authorized to charge all required fees for extensions of time under §1.17 to Deposit Account No. 19-1970.
3. ☐ Foreign Priority benefits are claimed under 35 USC §119 of Patent Application Serial No. filed
4. ☐ The Small Entity Statement was filed in prior application. Status is still proper and desired.
5. Correspondence Address:

TODD P. BLAKELY, ESQ.
SHERIDAN ROSS P.C.
1560 Broadway, Suite 1200
Denver, Colorado 80202-5141
Telephone: (303) 863-9700
Facsimile: (303) 863-0223

Respectfully Submitted,

SHERIDAN ROSS P.C.



David F. Zinger
Registration No. 29,127

Date: March 21, 2001